

59-02 103

Express Mail Label No. EL 80755349 US

Docket No. EMCORE 3.0-047  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Provisional Application of:  
Mark Gottfried

Application No.:

Group Art Unit:

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: METHOD OF MAKING AN ELECTRODE

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 12, 2001

Respectfully submitted,

By Kimberly V. Perry  
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Dated: January 25, 2002

Signature:   
(Kimberly V. Perry)Docket No.: EMCORE 3.0-047  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
*Mark Gottfried*

Application No.: 09/976,342

Group Art Unit: 2812

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: METHOD OF MAKING AN ELECTRODECommissioner for Patents  
Washington, DC 20231

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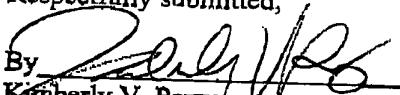
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Dated: January 25, 2002

Respectfully submitted,

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STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

## Complete If Known

Application Number	
Filing Date	October 12, 2001
First Named Inventor	Mark Gottfried
Group Art Unit	
Examiner Name	
Attorney Docket Number	EMCORE 3.0-047

## U. S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U. S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If Known)			
A	5,284,798			Ibuka et al.	02-08-1994	
B	5,665,639			Seppala et al.	09-09-1997	
C	5,767,008			Heiji	06-16-1998	
D	5,994,763			Ohmuro	11-30-1999	
E	6,069,086			Huang et al.	05-30-2000	
F	6,096,572			Nakamura	08-01-2000	
G	6,169,021			Akram et al.	01-02-2001	
H	6,261,864			Jung et al.	07-17-2001	

## FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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<sup>2</sup>Unique citation designation number. <sup>3</sup>See attached Kinds of U. S. Patent Documents. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT

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				Complete If Known
Application Number				
Filing Date				October 12, 2001
First Named Inventor				Mark Gottfried
Group Art Unit				
Examiner Name				
Sheet	2	of	2	Attorney Docket Number
				EMCORE 3.0-047

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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**Atty Docket No.:** EMCORE 3.0-047

**Due Date:** October 13, 2001

**Title:** METHOD OF MAKING AN ELECTRODE

**Application No.:**

**Documents Filed:**

Fee Transmittal  
Utility Patent Application Transmittal  
28 pages of specification  
7 sheets of drawings  
Unexecuted Declaration  
Information Disclosure Statement  
PTO-1449 (w/cited references)

Jc821 U.S. PTO  
09/976342



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